

09-08-00

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PATENT

Docket No. IL-10518

Commissioner for Patents  
Washington, DC 20231

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):  
**Francine A. Alford, David L. Brinkerhoff**

For (title): **A METHOD AND SYSTEM OF INTEGRATING INFORMATION FROM MULTIPLE SOURCES**

**1. Type of Application**

- ☒ This new application is for an original patent.
- ☐ This new application is a:
  - ☐ Division
  - ☐ Continuation
  - ☐ Continuation-in-part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).
  - ☐ Group/Art Unit:
  - ☐ Examiner of Prior Application:

**3. ☒ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:**

<u>60/153,099</u>	<u>9/9/99</u>
Application Serial No.	Filing Date

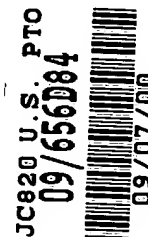
**4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

24 Pages of specification, including  
Claims, Abstract and Title Page

5 Sheets of drawings

**5. Additional papers enclosed**

- ☐ Preliminary Amendment
- ☒ Express Mail Certificate
- ☒ Return Postcard



0955434-090700

002060-48495960

6. Declaration or oath

- ☒ Enclosed and executed by
  - ☒ Inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
  - ☒ is attached
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	30	-20 =	10	x \$18 =	\$ 180.00
Independent Claims	8	-3 =	5	x \$78 =	\$ 390.00
				Multiple Claims	= \$ 570.00
				Basic Filing Fee	= \$ 690.00
				Sub-Total	= \$ 1260.00
				Small Entity Filing Fee	= \$ 630.00

10. **Small Entity Statement(s)**

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.
- ☐ Copy of Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 filed in prior application. Status still proper and desired.

11. **Fee Payment**

- ☐ Not Enclosed
- ☒ Enclosed (See Charge Account Information Below)

**Total Basic Filing Fees To Be Paid** \$ 630.00

12. **Method of Payment of Fees**

- ☐ Check in the Amount of \$ \_\_\_\_\_
- ☒ Charge Account No. 12-0695 in the amount of \$ 630.00  
**A duplicate of this transmittal is attached.**

13. **Instructions As To Overpayment/Underpayment**

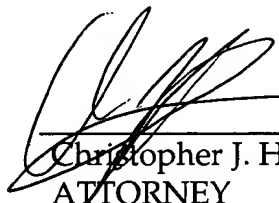
- ☒ credit/charge  
Account No. 12-0695
- ☐ refund

14. **Correspondence Address**

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ATTORNEY  
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USA

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Reg No.: 40,394

  
\_\_\_\_\_  
Christopher J. Horgan  
ATTORNEY  
P.O. Box 808, L-703  
Livermore, CA 94551

Date: 9/7/00

004060-12195960

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Francine A. Alford et al. Docket No. : IL-10518  
 Serial No. : Art Unit :  
 Filed : Batch No. :  
 For : A METHOD AND SYSTEM OF INTEGRATING INFORMATION FROM  
 MULTIPLE SOURCES Examiner :

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS [37 CFR 1.9 (f) and 1.27(d)] - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

The Regents of the University of California  
 Office of General Counsel  
 1111 Franklin Street  
 Oakland, CA 94607-5200

**TYPE OF ORGANIZATION**

X  University or other Institute of Higher Education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled A METHOD AND SYSTEM OF INTEGRATING INFORMATION FROM MULTIPLE SOURCES

by inventor(s) Francine A. Alford and David L. Brinkerhoff

described in

X  the specification filed herewith.  
 \_\_\_\_\_ application serial no. \_\_\_\_\_, filed \_\_\_\_\_.  
 \_\_\_\_\_ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

002050-4349550

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention, except for a license to a Federal Agency pursuant to 35 USC 202(c) (4).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

  X   no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

JANET G. TULK  
Laboratory Counsel  
Lawrence Livermore National Laboratory  
7000 East Avenue, L-701  
Livermore, CA 94551

Janet G. Tulk  
JANET G. TULK

8/29/00  
Date

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Francine A. Alford et al.  
Serial No. :

Attorney Docket No. : IL-10518  
Art Unit:

Filed :

Examiner:

For : **A METHOD AND SYSTEM OF INTEGRATING INFORMATION  
FROM MULTIPLE SOURCES**

Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL33019961US

Date of Deposit 9/7/00

I hereby certify that the following *attached*

1. Recordation Cover Sheet w/ Assignment
2. New Application Transmittal (in duplicate)
3. Verified Statement Claiming Small Entity Status
4. Application  
(Specification 15 pages, Claims 7 pages, Abstract 1 page)  
Combined Declaration and Power of Attorney  
Five (5) sheets of formal drawings;
5. Express Mail Certificate
6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Kathy E. Raymond

(Type or print name of person mailing paper)

Kathy E. Raymond

(Signature of person mailing paper or fee)

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